

JC10 Rec'd PCT/PTO 14 DEC 2001

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

UDL0161PUSA

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

10/018263INTERNATIONAL APPLICATION NO.
PCT/GB00/02228INTERNATIONAL FILING DATE
08 June 2000 (08.06.00)PRIORITY DATE CLAIMED
14 June 1999 (14.06.99)TITLE OF INVENTION
REMOVABLE HEIGHT SAFETY APPARATUSAPPLICANT(S) FOR DO/EO/US
Peter Robert Flux

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

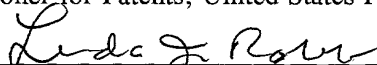
1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
- a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☒ has been transmitted by the International Bureau.
- c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application Under PCT Article 19 (35 U.S.C. 371(c)(3))
- a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
- b. ☐ have been transmitted by the International Bureau.
- c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
- d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

"Express Mail" Mailing Label No.: EV 011 678 345 USDate of Deposit: 14 December 2001

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Linda J. Robb

ATTORNEY'S DOCKET NUMBER
UDL0161PUSA

17. X The following fees are submitted:

CALCULATIONS

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO	\$1,040.00
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International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	\$890.00
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International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$740.00
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International preliminary examination fee paid to USPTO (37 CFR 1.482)	
but all claims did not satisfy provisions of PCT Article 33(1)-(4)	\$710.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)	
and all claims satisfied provisions of PCT Article 33(1)-(4)	\$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 890.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 00.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	18 - 20 =	0	X \$18.00

\$ 00.00

Independent claims	1 - 3 =	0	X \$84.0
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\$ 00.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+ \$280.00	\$ 00.00
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\$ 00.00

TOTAL OF ABOVE CALCULATIONS =

\$ 890.00

Reduction by 1/2 for filing by small entity. Applicant claims small entity status.

\$ 00.00

SUBTOTAL =

\$ 890.00

Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+ | \$ 00.00

TOTAL NATIONAL FEE =

\$ 890.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

\$ 00.00

TOTAL FEES ENCLOSED =

\$ 890.00

Amount to be:	
refunded	\$
charged	\$

- a. X A check in the amount of \$ 890.00 to cover the above fees is enclosed.
- b. Please charge my Deposit Account No. 02-3978 in the amount of \$ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to
Deposit Account No. 02-3978. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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25,634

U.S. APPLICATION NO. 10/018263 <small>(If known, use 37 CFR 1.53)</small>		INTERNATIONAL APPLICATION NO PCT/GB00/02228		ATTORNEY'S DOCKET NUMBER UDL0161PUSA	
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17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: right;">ENTER APPROPRIATE BASIC FEE AMOUNT =</div>				CALCULATIONS <small>PTO USE ONLY</small>	
Surcharge of \$130.00 for furnishing the oath or declaration later than <u> 20 </u> <u> 30 </u> months from the earliest claimed priority date (37 CFR 1.492(e)).				\$ 00.00	
<input type="checkbox"/>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
<input type="checkbox"/>	Total claims	18 - 20 =	0	X \$18.00	\$ 00.00
<input type="checkbox"/>	Independent claims	1 - 3 =	0	X \$84.00	\$ 00.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$280.00	\$ 00.00
TOTAL OF ABOVE CALCULATIONS =					\$ 890.00
Reduction by 1/2 for filing by small entity. Applicant claims small entity status.					\$ 00.00
SUBTOTAL =					\$ 890.00
Processing fee of \$130.00 for furnishing the English translation later than <u> 20 </u> <u> 30 </u> months from the earliest claimed priority date (37 CFR 1.492(f)).					+ \$ 00.00
TOTAL NATIONAL FEE =					\$ 890.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					+ \$ 00.00
TOTAL FEES ENCLOSED =					\$ 890.00
					Amount to be: refunded \$
					charged \$

a. ☒ A check in the amount of \$ 890.00 to cover the above fees is enclosed.

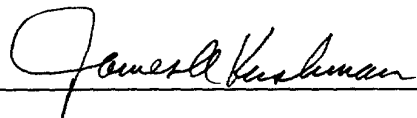
b. ☐ Please charge my Deposit Account No. 02-3978 in the amount of \$ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-3978. A duplicate copy of this sheet is enclosed.

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10/018263

JC05 Rec'd PCT/PTO 14 DEC 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

PETER ROBERT FLUX

Group Art Unit: Unknown

Examiner: Unknown

Serial No.: PCT/GB00/02228

Filed: 8 June 2000

For: REMOVABLE HEIGHT SAFETY APPARATUS

Attorney Docket No.: UDL0161PUSA

PRELIMINARY AMENDMENT

Box PCT
Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Sir:

Prior to examination and calculation of the filing fee, please amend the above-identified patent application as set forth below.

In The Claims

Please cancel claim 19.

Please replace claims 11, 12, 14, 16 and 18 as shown below. A marked up version of the amended claims is attached to this Amendment.

11. (Amended) A load transfer device as claimed in claim 9 wherein said movable link is movable relative to said first attachment member between a first position in

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

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December 14, 2001
Date of Deposit

Linda Robb
Name of Person Signing

Linda J. Robb
Signature

which said inverted semi-circular notch is in alignment with said connecting eye, and a second position in which the upper periphery of its inverted semi-circular notch obstructs the connecting eye.

12. (Amended) A load transfer device as claimed in claim 2 further comprising a second attachment member overlying the movable link assembly on the other side thereof from said first attachment member, said second attachment member having a connecting eye in alignment with the connecting eye of said first attachment member.

14. (Amended) A load transfer device as claimed in claim 1 wherein the rotary members are in the form of wheels having a plurality of petals projecting radially from their hubs.

16. (Amended) A load transfer device as claimed in claim 1 wherein the rotary members are provided with a formation on the respective surfaces thereof facing the slipper member, for co-operation with a complementary formation on the slipper member.

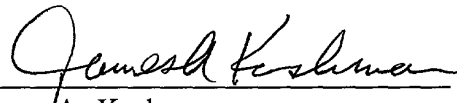
18. (Amended) A load transfer device as claimed in claim 1 wherein one or more rollers are incorporated in the slipper member to ease passage of the device along the elongate support element in normal use.

Remarks

This Preliminary Amendment has revised claim dependency and made a claim cancellation so that all of the remaining claims are in proper format for examination.

Respectfully submitted,

PETER ROBERT FLUX

By 

James A. Kushman

Reg. No. 25,634

Attorney of Record

Date: December 14, 2001

BROOKS & KUSHMAN P.C.

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Southfield, MI 48075

Phone: 248-358-4400

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Attachment

VERSION WITH MARKINGS TO SHOW CHANGES MADE**In The Claims:**

11. (Amended) A load transfer device as claimed in claim 9 [or claim 10] wherein said movable link is movable relative to said first attachment member between a first position in which said inverted semi-circular notch is in alignment with said connecting eye, and a second position in which the upper periphery of its inverted semi-circular notch obstructs the connecting eye.

12. (Amended) A load transfer device as claimed in [any one of] claim[s] 2 [to 11] further comprising a second attachment member overlying the movable link assembly on the other side thereof from said first attachment member, said second attachment member having a connecting eye in alignment with the connecting eye of said first attachment member.

14. (Amended) A load transfer device as claimed in [any preceding] claim 1 wherein the rotary members are in the form of wheels having a plurality of petals projecting radially from their hubs.

16. (Amended) A load transfer device as claimed in [any preceding] claim 1 wherein the rotary members are provided with a formation on the respective surfaces thereof facing the slipper member, for co-operation with a complementary formation on the slipper member.

18. (Amended) A load transfer device as claimed in [any preceding] claim 1 wherein one or more rollers are incorporated in the slipper member to ease passage of the device along the elongate support element in normal use.

REMOVABLE HEIGHT SAFETY APPARATUS

5 The present invention relates to height safety equipment and, in particular, to a load transfer device which enables a person or a load to be moved along a path defined by an elongate support element, such as a safety line or cable. Typically, the elongate safety element is supported at intermediate points between its ends by brackets or attachment fittings and the load transfer device of the present invention is able to negotiate these without fouling. In particular, the invention relates to a load transfer device of the above type which is adapted for easy attachment to and detachment from the elongate support element at any point along its total span, without requiring special entry or exit fittings on the elongate support element.

10 Such a device has numerous applications, for example in building, mining and civil engineering for allowing personnel to move around on high structures whilst remaining attached by a personal safety harness to an elongate safety line. Alternatively, the device can be used for transferring loads along an overhead guide cable. Similar arrangements may be used in transferring goods and/or personnel from ship to shore and *vice versa* at quayside locations.

15 Some known load transfer devices suffer from the drawback that they are incapable of negotiating the intermediate brackets along the elongate support element. One solution to this problem is to provide special brackets which can be "opened" to allow the supported load to pass. The weakness of this approach is that the elongate support element temporarily lacks support at the very point where the installer thought it necessary and at the precise moment when it is most needed. Another likely problem is that the brackets may not be accessible to the system user.

20 An alternative solution is to employ special entry/exit fittings or access points along the elongate support element so that the load transfer device can be attached and removed. The disadvantage of this approach is that the access points are not always conveniently situated in relation to the exact location at which attachment or removal is desired.

Improved load transfer devices have been developed which are capable of automatically traversing intermediate brackets for the elongate support element without user intervention. Such devices typically comprise a pair of rotatable wheels having a series of recesses at spaced locations around their peripheries, the adjacent recesses being separated by a radially projecting part of the wheel. A co-operating shoe or slipper part is mounted on the wheels by means of formations which inter-engage with complementary formations on the radially projecting wheel parts. A space between the slipper part and the wheels is dimensioned to receive an elongate support element such as a cable or a rigid elongate element.

In use, the device is able to negotiate intermediate brackets for the elongate support element without user intervention by accommodating the bracket legs in a pair of aligned recesses carried by the respective wheels. Rotation of the wheels relative to the slipper part causes the intermediate bracket to pass behind the slipper part, in the aligned recesses of the rotating wheels.

Early versions of such devices did not address the problem of ease of attachment to or removal from the elongate support element.

However, a removable load transfer device is known from United States Patent No. 5,245,931. This device has a specially-configured oblique cut-out portion formed in each of its rotating wheels to facilitate removal from and attachment to a safety line or cable. In order to effect such removal or attachment, the wheels must be aligned so that the safety line or cable can be accommodated in the cut-outs at an oblique orientation relative to the axis of rotation of the wheels. This enables the safety line or cable to be passed behind the slipper part. The disadvantage of this device is that, although it incorporates a safety feature to prevent inadvertent removal, in the form of resilient members that are slidable relative to the wheels into a position which obstructs the cut-outs, there nevertheless remains a possibility that the safety feature is inactivated whilst the device is in use. It is not designed to be fail-safe.

Another removable load transfer device is known from International Patent Application No. WO96/02456. In one embodiment described in this document, the device comprises first and second spaced-apart rotary members sharing a common axis of rotation, each having at least one recess formed in its periphery, and a co-operating slipper member that defines a space for receiving an elongate support element. In addition, the device has access means disposed between the rotary members to enable said elongate element to be introduced into or removed from said space in an orientation substantially perpendicular to the common axis of rotation of the rotary members so as to allow the device to be attached to or detached from the elongate element.

The access means is created by relative transverse movement between at least one of the rotary members and the slipper member, or between the two rotary members. In an especially preferred arrangement, which is currently being sold commercially, the body parts on which the rotary members are mounted are arranged to pivot relative to each other in a plane substantially parallel to the plane of rotation of the rotary members. At least one of the body parts has a longitudinal groove on its surface facing the other part. This groove is dimensioned to receive the elongate support element but is exposed only when the body parts are pivoted out of register. When they are aligned, the groove is obscured and access to it is prevented.

This so-called "sliding gate" arrangement is designed to be fail-safe in use because a load can only be attached to or removed from the device when the relatively slidable parts are in register. Thus, loads can never be attached to or detached from the device when the "gate" or access means is open.

Unfortunately, this known device suffers from the disadvantage that some of the manufacturing tolerances can become compounded. As a result, the device is not always as smooth in operation as ideal performance would demand. Instead of simple casting prior to assembly, some of the parts therefore require machining to ensure reliable performance. Inevitably, this drives up the cost of the device.

It is therefore an object of the present invention to provide a load transfer device for use in height safety applications which is easily attached to

or detached from an elongate safety line in the absence of special entry or exit fittings and without compromising its safety performance. It is a further object of the present invention to provide a load transfer device for use in height safety applications which cannot be accidentally detached from an elongate safety line as long as a load is attached to the device. It is yet another object of the present invention to provide a load transfer device which is cheap and simple to manufacture, the constituent parts requiring little or no machining after forming.

The invention is a load transfer device comprising:

first and second spaced-apart rotary members sharing a common axis of rotation, each having a hub portion and at least one recess formed in its periphery;

a slipper member extending between the rotary members and defining therewith a space adapted to receive an elongate support element along which the device is adapted to travel, in use;

attachment means for attaching a load to the device, and

access means to enable said elongate element to be introduced into or removed from said space so as to allow the device to be attached to or detached from the elongate element;

characterised in that said access means comprises at least one notch provided in the hub portion of each rotary member and in that said attachment means comprises a moveable link assembly operable between:

(a) a blocking position in which it prevents access to the notches by said elongate support element, and

(b) a release position in which it allows access to the notches by said elongate support element,

whereby said slipper member is enabled to pass over the elongate support element to cover or expose said space according to whether the device is being attached to or released from the elongate support element.

The arrangement of the device is such that the rotary members are rotatably mounted in relation to the slipper member. The recesses in the

peripheries of the rotary members are adapted to traverse, without user intervention, the intermediate support means used to support the elongate element relative to a fixed structure. This traversing operation occurs by rotation of the rotary members relative to the slipper member such that

5 elements of the intermediate support means are successively received, guided and passed by the recesses automatically;

Most advantageously, because the moveable link forms part of the attachment means, it is incapable of being deployed to its release position whenever a load is attached to the attachment means. This ensures fail-safe

10 operation of the device.

The moveable link may take a variety of forms and, in addition to the fail-safe arrangement described above, it may also be biased to its blocking position by biasing means such as a spring or the like. In one especially preferred form, the moveable link is formed with finger grip features to facilitate

15 its manipulation by the user between its blocking position and its release position.

Preferably, the rotary members are in the form of wheels having a plurality of petals projecting radially from their hubs. The petals then define, between adjacent pairs thereof, recesses of the type required for automatic

20 traversing of the elongate support element intermediate brackets. The provision of a plurality of recesses may be helpful in aligning the device with respective limbs of successive elongate support element brackets during a lengthy traverse. Although it will be understood by persons skilled in the art that the device can be made to work satisfactorily with only one notch on each

25 rotary member, it is preferable for the number of notches in a multiple-petal variant to be the same as the number of petals. Preferably, the notches are provided at the root portions of respective petals. Such an arrangement increases the utility of the device because it provides a greater population of notches for the user to align for the attachment or detachment step. The

30 amount of relative rotation required between the rotary members to ensure the desired alignment of the notches is never more than $360^\circ/n$, where n is the number of notches on each rotary member.

The rotary members may be provided with a formation on the respective surfaces thereof facing the slipper member, for co-operation with a complementary formation on the slipper member. This helps to maintain the relatively rotatable parts in their respective operating relationships. For example, the rotary members may each be provided with a surface groove which co-operates with complementary projections on the slipper member. Alternatively, the grooves may be provided on the slipper member and the projections on the rotary members.

One or more rollers may be incorporated in the slipper member to ease passage of the device along the elongate support element in normal use.

The important feature of all manifestations of the device is the ability to create a gap which allows the elongate support element to be introduced into or removed from the space defined between the rotary members and the slipper member.

The invention will now be described by way of example only with reference to the drawings, in which:

Figure 1 is a perspective view of an embodiment of the present invention;

Figure 2 is a perspective view of a pair of rotary members forming an essential part of the invention depicted in Figure 1, shown in spaced-apart relationship;

Figure 3 is a perspective view of a part of the invention depicted in Figure 1 in the blocking or closed condition, with one of the rotary members omitted for clarity;

Figure 4 is a perspective view similar to the arrangement shown in Figure 3, but with the device in its open or release condition;

Figure 5 is a perspective view corresponding to Figure 3, showing the moveable link of the attachment means in the blocking or closed condition;

Figure 6 is a perspective view corresponding to Figure 4, showing the moveable link of the attachment means in the open or release condition;

Figure 7 is a similar view to Figure 5, but with a second portion of the attachment fitting superimposed over the blocking or closing mechanism of the device;

Figure 8 is a similar view to Figure 5, showing a first alternative arrangement of moveable link in the blocking or closed condition;

Figure 9 is a similar view to Figure 6, showing the first alternative arrangement of moveable link in the open or release condition;

Figure 10 is a similar view to Figure 7, with a second portion of the attachment fitting superimposed over the first alternative arrangement of moveable link;

Figure 11 is a similar view to Figure 8, showing a second alternative arrangement of moveable link in the blocking or closed condition;

Figure 12 is a similar view to Figure 9, showing the second alternative arrangement of moveable link in the open or release condition, and

Figure 13 is a similar view to Figure 10, with a second portion of the attachment fitting superimposed over the second alternative arrangement of moveable link.

Referring now to Figure 1, an especially preferred embodiment is shown of a removable load transfer device 100 constructed in accordance with the invention. The device 100 comprises a pair of rotary members in the form of so-called starwheels 101, 102 each having a respective cover member 103, 104. The cover members serve to protect the petals of the starwheels from damage in use of the device and, in other embodiments of the invention not illustrated in this document, may be fashioned to assist in aligning the device

with intermediate support brackets as the device 100 travels along an elongate support element such as a wire or cable.

A slipper member 110 is located between the starwheels 101, 102. Slipper member 110 is provided with a pair of side projections 115, 116 which are engaged in complementary grooves 105, 106 formed in the respective starwheels 101, 102. In the closed condition as shown in this Figure, the combination of starwheels 101, 102 and slipper member 110 define a space 150 in which an elongate support element (not shown) is receivable in use. Between each pair of petals 107, 108 of the starwheels 101, 102 is a recess 109, the function of which will be explained in more detail below.

The device is designed to traverse intermediate support brackets for an elongate support element, typically a multi-strand steel cable, without requiring user intervention. Usually, in the vicinity of an intermediate support bracket, the elongate support element is enclosed within a guide tube and, as the device 100 approaches such a bracket, it partially entraps the guide tube. At this point, the slipper member 110 passes behind the bracket legs and does not foul on them. These legs may be any shape in cross-section provided that their cross-sectional dimension is compatible with the size of the recess 109 between adjacent petals 107, 108 of the starwheels 101, 102. The starwheels 101, 102, which lie in a similar plane to the bracket legs, offer a recess 109 between two adjacent petals 107, 108 to the bracket leg. Should the situation arise where a recess 109 is not in register with the bracket leg as the device 100 approaches the bracket, contact between a petal tip and the bracket leg causes the respective starwheel to rotate slightly and thereby bring a recess into alignment with the leg.

The bracket leg abuts against the approaching petals and rotates the starwheels, allowing the slipper member to pass behind the bracket and, ultimately, beyond it. It is to be noted that the direction of the turning force is always correct for either direction of travel of the device 100.

Figure 2 shows the starwheels 101, 102 in spaced-apart relationship, with all other parts of the device 100 removed for clarity. In the assembled device 100, the starwheels 101, 102 are rotatably mounted on a common axle

(not shown) which passes through central holes 111, 112 formed in their respective hubs. In the embodiment shown, each starwheel has eight petals 107, 108 and hence there are eight recesses 109 formed between the adjacent petals of each starwheel. In addition to the recesses 109, each starwheel 101, 102 has a series of notches 117, 118 at the root portions of the respective petals 107, 108. The purpose of these notches 117, 118 will be described in more detail below with reference to Figures 3 and 4.

Referring now to Figure 3, this shows a first embodiment of the load transfer device according to the present invention with the starwheel 101 omitted for clarity. Starwheel 102 is rotatably mounted on axle 200, on which is also mounted attachment means 300. Attachment means 300 comprises a first attachment member 301 depending from the axle 200, said first attachment member having a connecting eye 305 adapted to receive a karabiner or similar fastening, in use, for enabling attachment of a personnel safety harness to the device by means of a lanyard. First attachment member also has a stub axle 306 positioned immediately below axle 200 on which the starwheel 102 is rotatably mounted, and a pivot pin 321 positioned above the connecting eye 305. The purposes of the stub axle 306 and the pivot pin 321 will be explained in more detail below.

Attachment means 300 further comprises a moveable link assembly comprising a moveable link 310 having an oblong slot 311 and a swing link 320 having a connecting eye 325 arranged to correspond in shape and size to the connecting eye 305 of the first attachment member 301. The oblong slot 311 of the moveable link 310 is dimensioned to accommodate both the axle 200 on which the starwheel 102 is mounted and the stub axle 306 of the first attachment member, with an additional clearance, the purpose of which will become apparent from the description which follows. The swing link 320 has a small through-hole dimensioned to receive the pivot pin 321 of the first attachment member 301 and is able to pivot thereon between a first position in which the connecting eye 325 of the swing link 320 is in alignment with the connecting eye 305 of the first attachment member 301, and a second position (best seen with reference to Figure 4) in which the connecting eye 325 of the

swing link 320 is out of register with the connecting eye 305 of the first attachment member 301. In this second position, part of the body of the swing link 320 obstructs the connecting eye 305 of the first attachment member 301, thereby preventing attachment of a karabiner to the load transfer device.

The moveable link is shown in Figure 3 with the lower rim of oblong slot 311 adjacent stub axle 306 and with clearance above the axle 200 on which starwheel 102 is rotatably mounted. The moveable link is maintained in this position by engagement between an upstanding lug formation 322 of the swing link 320 and the lower periphery of the moveable link.

Also shown in Figure 3 is a short length of an elongate support element in the form of a multiple strand metal cable 250 along which the load transfer device is adapted to travel, in use. The cable 250 is slidably retained in the space 150 between the underside of slipper 100 and starwheel 102. Movement of the cable 250 in the direction towards the hub of starwheel 102 is prevented by contact of the cable 250 against the upper periphery of the moveable link 310. Thus cable 250 is prevented from being rotated obliquely relative to the load transfer device into engagement with one of the notches 118 of the starwheel 102. Such movement is only possible when the moveable link has been moved to its release position, as will now be described with reference to Figure 4.

In Figure 4, the swing link 320 is shown pivoted to its second position about pivot pin 321, such that its connecting eye 325 is no longer in register with connecting eye 305 of first attachment member 301. In this condition, it is not possible for a load to be attached to the device. Instead, it is in the condition required for attachment to or detachment from the cable 250.

Also as shown in Figure 4, the moveable link 310 has been moved downward relative to its position previously shown in Figure 3. Now the upper rim of oblong slot 311 is adjacent axle 200 and clearance exists below the stub axle 306 on the first attachment member 301. The upstanding lug formation 322 of the swing link 320 is no longer in contact with the lower periphery of the moveable link 310. Rather, the lower periphery of the moveable link

engages one of a pair of arcuate shelf surfaces 323 formed at the top of the swing link 320, one on either side of the upstanding lug formation 322. Thus, if the swing link 320 had been pivoted in the opposite rotational sense, an equivalent release condition would have been achieved.

5 As a result of the downward movement of the moveable link 310 relative to the axle 200, the cable 250 is able to move closer to the hub of the starwheel 102 and can be accommodated in the notch 118 near the base of petal 108, thereby enabling the cable to be swivelled relative to the axle 200 on which the starwheel 102 is rotatably mounted. The cable 250 thus lies
10 athwart the device, the angle between the axle 200 and the cable 250 being approximately 45° as shown in Figure 4. When the cable 250 is disposed at such an angle relative to the axle 200, the slipper member 110 can be passed over the elongate support element to expose the space 150, thereby enabling the device to be detached from the cable 250.

15 For attaching the device to the cable 250, the above-described sequence of steps is carried out in the reverse order: Firstly, swing link 320 is pivoted about pivot pin 321 relative to the first attachment member 301 so that their respective attachment eyes 325, 305 are out of register. Then, moveable link 310 is moved downwards relative to axle 200 on which starwheel 102 is
20 rotatably mounted and slipper member 110 is swung around the device to a position adjacent the attachment means 300. This exposes an access means for insertion of the cable 250. The cable 250 is inserted into the access means up to a point where it is as close as possible to the axle 200 on which starwheel 102 is rotatably mounted. Cable 250 is then rotated relative to the
25 axle 200 so that it lies athwart the device at an angle of approximately 45° relative to the axle 200, being accommodated in one of the notches 118 at the root of a petal 108 of the starwheel 102.

It will be understood by persons skilled in the art that a second starwheel (101, not shown in Figures 3 and 4) is also rotatably mounted on axle 200 and
30 that the obliquely-oriented cable is also accommodated in a corresponding notch at the base of a corresponding petal of this second starwheel.

The slipper member 110 is then swung from its position adjacent the attachment, over the cable 250, into a position corresponding to its working position. The cable is rotated in the opposite direction to its first rotational movement, so that its longitudinal axis is oriented substantially perpendicularly to the axle 200 and the device is allowed to settle on the cable 250 so that the underside of the slipper member 110 rests on top of the cable 250. The swing link 320 is pivoted about pivot pin 321 on the first attachment member 301 towards an orientation in which their respective connecting eyes 325, 305 are in alignment. This movement of the swing link 320 relative to the first attachment member 301 causes the moveable link to ride up over the arcuate shelf surface 323 of the swing link 320 to a position in which the lower rim of the oblong slot 311 of the moveable link 310 is adjacent the stub axle 306, with clearance above the axle 200. The moveable link is maintained in this position by engagement between its lower periphery and the upstanding lug formation 322 of the swing link 320.

It is only in this condition, when the respective connecting eyes 305 and 325 of the first attachment member 301 and the swing link 320 are in alignment, that a load can be attached to the device through the connecting eyes 305, 325. The cable 250 is slidably held in the space 150 defined between the starwheels, the slipper member 110 and the upper periphery of the moveable link 310. Removal of the device from the cable 250 is not possible until any load has been detached from the connecting eyes 305, 325. Hence, the device is fail-safe.

Figures 5 to 7 show the attachment means 300 described above in perspective views without the presence of either starwheel, the slipper member 110, or the cable 250. Like reference numerals have therefore been used to denote the same features as previously described. Figure 5 is a perspective view similar to Figure 3, showing the moveable link 310 in the blocking or closed condition. In this condition, the connecting eye 325 of the swing link 305 is in alignment with the connecting eye 305 of the first attachment member 301 and the lower rim of the oblong slot 311 of the moveable link 310 is adjacent the stub axle 306. With the slipper 110 omitted from this view, it

is possible to see that the upper periphery of the moveable link 310 stands proud of the upper periphery of the first attachment member 301.

Figure 6 is a perspective view of part of the attachment means 300 corresponding to Figure 4, showing the moveable link 310 in the open or release condition. In this view, it is possible to see how the upper periphery of the moveable link 310 is very much lower than the upper periphery of the first attachment member 301.

Figure 7 is a perspective view of the complete attachment means 300, with the second attachment member 302 in position overlying the other components. Like its first attachment member counterpart 301, second attachment member 302 has an attachment eye 307 which is in alignment with the attachment eyes 305, 325 of the first attachment member 301 and the swing link 325, respectively, when the device is in the blocking or closed condition. The ends of stub axle 306 and pivot pin 321 can also be seen in this view and, although no longer fully visible, the moveable link is in the same position as that shown in Figure 5.

For added safety, the first attachment member 301 has a lower web formation 303 which fills the gap between the first attachment member 301 and the second attachment member 302. This prevents any attempt to attach a load to the attachment means 300 through only one of the connecting eyes 305, 307 even when the moveable link is in the open or release condition, which is the condition required for prevention of load attachment.

Figures 8, 9 and 10 show an alternative arrangement of moveable link for the attachment means 300. Again, like reference numerals will be used to denote the same features as previously described.

As best seen with reference to Figures 8 and 9, in this alternative arrangement there is a single moveable link 330 rather than a separate moveable link and swing link. First attachment member 301 is mounted on axle 200 which is also used for rotatably mounting the starwheels that have been omitted from Figures 8 to 10 for clarity. First attachment member 301 has a connecting eye 305 adapted to receive a karabiner or similar fastening, in use, for enabling attachment of a personnel safety harness to the device by

means of a lanyard. First attachment member 301 also has a stub axle 306 positioned below axle 200 and spaced apart therefrom.

Moveable link 330 has an oblong slot 331 in which the axle 200 is received and a substantially "V"-shaped slot 332 in which is received the stub axle 306 of the first attachment member 301. The lower portion of the moveable link 330 comprises a pair of legs 333, 334 having an inverted "V"-shaped notch 335 therebetween.

As best seen in Figure 8, when the moveable link is in its blocking or closed condition, the axle 200 is adjacent the lower rim of oblong slot 331 and the inverted "V"-shaped notch overlies the connecting eye 305 of the first attachment member 301. In this condition, the upper periphery of the moveable link stands proud of the upper periphery of the first attachment member 301 and therefore would prevent access of a cable or similar elongate member to the cable-receiving notches (117, 118 - not shown) of the starwheels (101, 102 - not shown). Connecting eye 305 of the first attachment member 301 is unobstructed and is therefore able to receive a karabiner or similar fastening, for enabling attachment of a personnel safety harness to the device by means of a lanyard.

Turning now to Figure 9, this view shows the moveable link 330 in its open or release condition. Here, it has been swung clockwise relative to the first attachment member 301. The axle 200 is adjacent the upper rim of oblong slot 331 and the stub axle 306 has moved to the end of one of the arms of the "V"-shaped slot 332. The upper periphery of moveable link 330 is now below the upper periphery of first attachment member 301 and connecting eye 305 of first attachment member 301 is obstructed by leg 334 of the moveable link 330. These are the conditions required to enable the device to be attached to or detached from an elongate support member such as a multiple strand metal cable. No load can be attached to the device through the connecting eye 305, so it is in a fail-safe condition.

Figure 10 is a similar view to Figure 7 described previously, with second attachment member 302 in place overlying the moveable link 330. The end of stub axle 306 can be seen and like first attachment member 301, second

attachment member 302 has a connecting eye 307 for receiving a karabiner or similar fastening. Connecting eyes 305 and 307 are always in alignment but, when the moveable link 330 is in the release or open condition, one of its legs 333, 334 will obstruct the connecting eyes 305, 307 and prevent attachment of a load. When the moveable link is in its blocking or closed condition, its inverted "V"-shaped notch aligns with the connecting eyes 305, 307 leaving them unobstructed and in a suitable condition for attachment of a load.

For added safety, the first attachment member 301 has a lower web formation 303 which fills the gap between the first attachment member 301 and the second attachment member 302. This prevents any attempt to attach a load to the attachment means 300 through only one of the connecting eyes 305, 307 even when the moveable link is in the open or release condition, which is the condition required for prevention of load attachment.

Figures 11, 12 and 13 show a second alternative arrangement of moveable link for the attachment means 300. Again, like reference numerals have been used to denote features corresponding to those previously described.

As best seen with reference to Figures 11 and 12, in this second alternative arrangement there is again a single moveable link 340 rather than a separate moveable link and swing link. First attachment member 301 is mounted on axle 200 which is also used for rotatably mounting the starwheels that have been omitted from Figures 11 to 13 for clarity. First attachment member 301 has a connecting eye 305 adapted to receive a karabiner or similar fastening, in use, for enabling attachment of a personnel safety harness to the device by means of a lanyard. First attachment member 301 also has a stub axle 306 positioned below axle 200 and spaced apart therefrom.

Moveable link 340 has an oblong slot 341 in which the axle 200 is received and a "U"-shaped slot 342 in which is received the stub axle 306 of the first attachment member 301, together with resilient means for biasing the moveable link 340 to its blocking or closed condition. In the illustrated embodiment, the resilient means is a compression spring 349 positioned between the upper curved surface of the stub axle 306 and the underside 343

of the upper rim of the "U"-shaped slot 342. The lower portion of the moveable link 330 comprises a pair of legs 344, 345 having an inverted semi-circular notch 336 therebetween.

As best seen in Figure 11, when the moveable link 340 is in its blocking or closed condition, the axle 200 is adjacent the lower rim of oblong slot 341 and the inverted semi-circular notch 346 overlies the connecting eye 305 of the first attachment member 301. In this condition, the upper periphery of the moveable link 340 stands proud of the upper periphery of the first attachment member 301 and therefore would prevent access of a cable or similar elongate member to the cable-receiving notches (117, 118 - not shown) of the starwheels (101, 102 - not shown). Compression spring 349 is in its relaxed (or least compressed) condition. Connecting eye 305 of the first attachment member 301 is unobstructed and is therefore able to receive a karabiner or similar fastening, for enabling attachment of a personnel safety harness to the device by means of a lanyard.

Turning now to Figure 12, this view shows the moveable link 340 in its open or release condition. Here, it has been moved downwards relative to the first attachment member 301. The axle 200 is adjacent the upper rim of oblong slot 341 and the stub axle 306 has moved to a position part way along the shaped slot 342, compressing the compression spring 349 to an active condition in which it acts to return the moveable link 340 to the blocking or closed condition. The upper periphery of moveable link 340 is now below the upper periphery of first attachment member 301 and connecting eye 305 of first attachment member 301 is obstructed by the upper periphery of inverted semi-circular notch 346 of the moveable link 340. These are the conditions required to enable the device to be attached to or detached from an elongate support member such as a multiple strand metal cable. No load can be attached to the device through the connecting eye 305, so it is in a fail-safe condition.

Figure 13 is a similar view to Figure 10 described previously, with second attachment member 302 in place overlying the moveable link 340. The end of stub axle 306 can be seen and like first attachment member 301,

second attachment member 302 has a connecting eye 307 for receiving a karabiner or similar fastening. Connecting eyes 305 and 307 are always in alignment but, when the moveable link 340 is in the release or open condition, the upper periphery of its inverted semi-circular notch 346 will obstruct the connecting eyes 305, 307 and prevent attachment of a load. When the moveable link is in its blocking or closed condition, its inverted semi-circular notch 346 aligns with the connecting eyes 305, 307 leaving them unobstructed and in a suitable condition for attachment of a load.

For added safety, the first attachment member 301 has a lower web formation 303 which fills the gap between the first attachment member 301 and the second attachment member 302. This prevents any attempt to attach a load to the attachment means 300 through only one of the connecting eyes 305, 307 even when the moveable link is in the open or release condition, which is the condition required for prevention of load attachment. Of course, the second attachment member 302 could be provided with such a web formation in place of the web formation on the first attachment member 301. Alternatively, both the first and second attachment members 301, 302 could be provided with co-operating web formations to fill the gap between their lower peripheries. This arrangement has the advantage that identical castings can be used for the first and second attachment members 301, 302.

For the avoidance of doubt, it is stated here that the condition described above as the blocking or closed condition refers to the relative arrangement of the features of the invention in which attachment to or detachment from an elongate support element is prevented. In this condition, however, attachment or detachment of a load is possible.

Conversely, in the condition described above as the open or release condition, the relative arrangement of the features of the invention allows attachment to or detachment from an elongate support element, whilst preventing attachment or detachment of a load.

The conditions required for attachment to or detachment from an elongate support element, and for attachment or detachment of a load, are

mutually incompatible and can never be achieved at the same time. Hence, the device is inherently fail-safe.

Although the invention has been particularly described above with reference to embodiments employing so-called starwheels, it will be understood by persons skilled in the art that this is non-limitative and that other forms of rotary member can be used. Various other modifications may also be apparent to skilled persons without departing from the scope of the claims which follow.

CLAIMS

1. A load transfer device comprising:

first and second spaced-apart rotary members sharing a common axis of rotation, each having a hub portion and at least one recess formed in its periphery;

a slipper member extending between the rotary members and defining therewith a space adapted to receive an elongate support element along which the device is adapted to travel, in use;

attachment means for attaching a load to the device, and

access means to enable said elongate element to be introduced into or removed from said space so as to allow the device to be attached to or detached from the elongate element;

characterised in that said access means comprises at least one notch provided in the hub portion of each rotary member and in that said attachment means comprises a moveable link assembly operable between:

(a) a blocking position in which it prevents access to the notches by said elongate support element, and

(b) a release position in which it allows access to the notches by said elongate support element,

whereby said slipper member is enabled to pass over the elongate support element to cover or expose said space according to whether the device is being attached to or released from the elongate support element.

2. A load transfer device as claimed in claim 1 wherein said attachment means comprises at least a first attachment member having a first connecting eye for attaching a load to the device and wherein said moveable link assembly comprises means to obstruct said connecting eye when the moveable link assembly is in the release position in which it allows access to said notches by said elongate support element.

3. A load transfer device as claimed in claim 2 wherein said moveable link assembly comprises a moveable link and a swing link, said swing link being pivotally mounted relative to said first attachment member.

4. A load transfer device as claimed in claim 3 wherein said swing link has a lug formation engageable against said moveable link to prevent movement of the moveable link until said swing link is pivoted relative to said first attachment means to a position in which engagement of said lug formation no longer occurs.

5. A load transfer device as claimed in claim 4 wherein said swing link has at least one arcuate shelf surface adjacent the lug formation, said arcuate shelf surface being adapted to cause movement of the moveable link when pivoting said swing link relative to said first attachment member to the blocking condition in which access to the notches by said elongate support element is prevented.

6. A load transfer device as claimed in claim 5 wherein said swing link has a pair of arcuate shelf surfaces, one on each side of said lug formation.

7. A load transfer device as claimed in claim 2 wherein said moveable link has an oblong slot for receipt of an axle forming said common axis of rotation for said rotary members, a substantially "V"-shaped slot for receiving a stub axle protruding from said first attachment member, and a pair of legs on its lower portion having an inverted "V"-shaped notch therebetween.

8. A load transfer device as claimed in claim 7 wherein said moveable link is pivotable relative to said first attachment member between a first position in which said inverted "V"-shaped notch is in alignment with said connecting eye, and a second position in which one of said legs obstructs said connecting eye.

9. A load transfer device as claimed in claim 2 wherein said moveable link has an oblong slot for receipt of an axle forming said common axis of rotation for said rotary members, a substantially "U"-shaped slot for receiving a stub axle protruding from said first attachment member and resilient means for urging said moveable link to its blocking position, and a pair of legs on its lower portion having an inverted semi-circular notch therebetween.

10. A load transfer device as claimed in claim 9 wherein said resilient means is a compression spring.

11. A load transfer device as claimed in claim 9 or claim 10 wherein said moveable link is moveable relative to said first attachment member between a first position in which said inverted semi-circular notch is in alignment with said connecting eye, and a second position in which the upper periphery of its inverted semi-circular notch obstructs the connecting eye.

12. A load transfer device as claimed in any one of claims 2 to 11 further comprising a second attachment member overlying the moveable link assembly on the other side thereof from said first attachment member, said second attachment member having a connecting eye in alignment with the connecting eye of said first attachment member.

13. A load transfer device as claimed in claim 12 wherein at least one of said first attachment member and said second attachment member includes a web formation on its periphery to fill the gap between said first attachment member and said second attachment member in order to prevent attachment of a load to the attachment means through only one of the connecting eyes.

14. A load transfer device as claimed in any preceding claim wherein the rotary members are in the form of wheels having a plurality of petals projecting radially from their hubs.

15. A load transfer device as claimed in claim 14 wherein a notch is provided at the root portion of each petal.

16. A load transfer device as claimed in any preceding claim wherein the rotary members are provided with a formation on the respective surfaces thereof facing the slipper member, for co-operation with a complementary formation on the slipper member.

17. A load transfer device as claimed in claim 16 wherein the rotary members are each provided with a surface groove which co-operates with complementary projections on the slipper member.

18. A load transfer device as claimed in any preceding claim wherein one or more rollers are incorporated in the slipper member to ease passage of the device along the elongate support element in normal use.

19. A load transfer device substantially as described herein with reference to the drawings.

10016363 054402

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
21 December 2000 (21.12.2000)

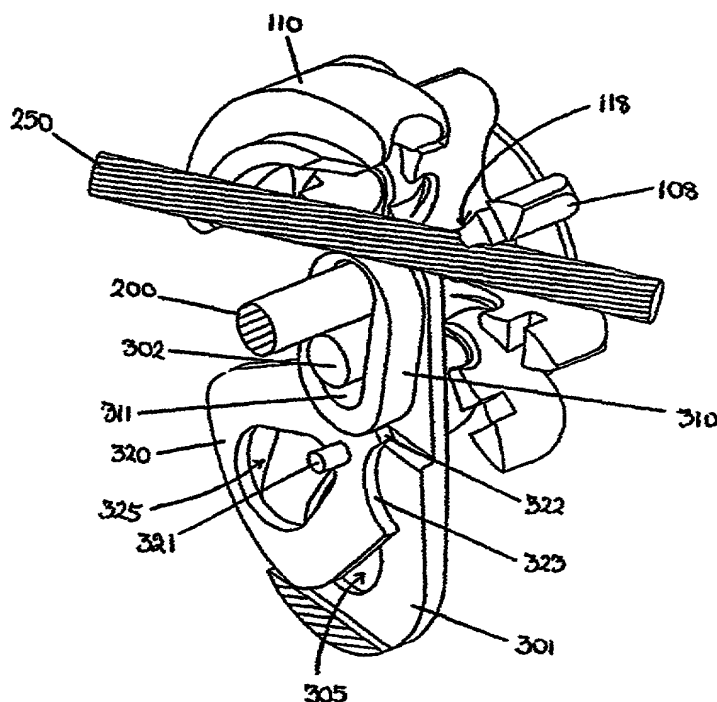
PCT

(10) International Publication Number
WO 00/76590 A1

- (51) International Patent Classification⁷: **A62B 35/04**
- (21) International Application Number: **PCT/GB00/02228**
- (22) International Filing Date: **8 June 2000 (08.06.2000)**
- (25) Filing Language: **English**
- (26) Publication Language: **English**
- (30) Priority Data:
9913783.8 **14 June 1999 (14.06.1999)** **GB**
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- (81) Designated States (national): **AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.**
- (84) Designated States (regional): **ARIPO** patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), **Eurasian** patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), **European** patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), **OAPI** patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).
- Published:**
— *With international search report.*

[Continued on next page]

(54) Title: **REMOVABLE HEIGHT SAFETY APPARATUS**



(57) Abstract: A removable load transfer device (100) for use in height safety apparatus comprises: spaced-apart rotary members (101, 102) sharing an axis of rotation (200), each having a hub portion and at least one recess (107, 108) in its periphery; slipper (110) extending between the rotary members (101, 102) and defining therewith space (150) for receiving elongate element (250) on which the device (100) travels, in use; attachment means (300) for attaching a load to the device (100), and access means to enable element (250) to be introduced into or removed from space (150) to allow the device (100) to be attached to or detached from element (250); and is characterised in that said access means comprises at least one notch (117, 118) in the hub of each rotary member (101, 102) and in that attachment means (300) comprises a moveable link assembly operable between: (a) a blocking position in which it prevents access to notches (117, 118) by said element (250), and (b) a release position in which it allows access to notches (117, 118) by said element (250), whereby said slipper (110) is enabled to pass the element (250) to cover or expose space (150) according to whether device (100) is being attached to or released

from the element (250).

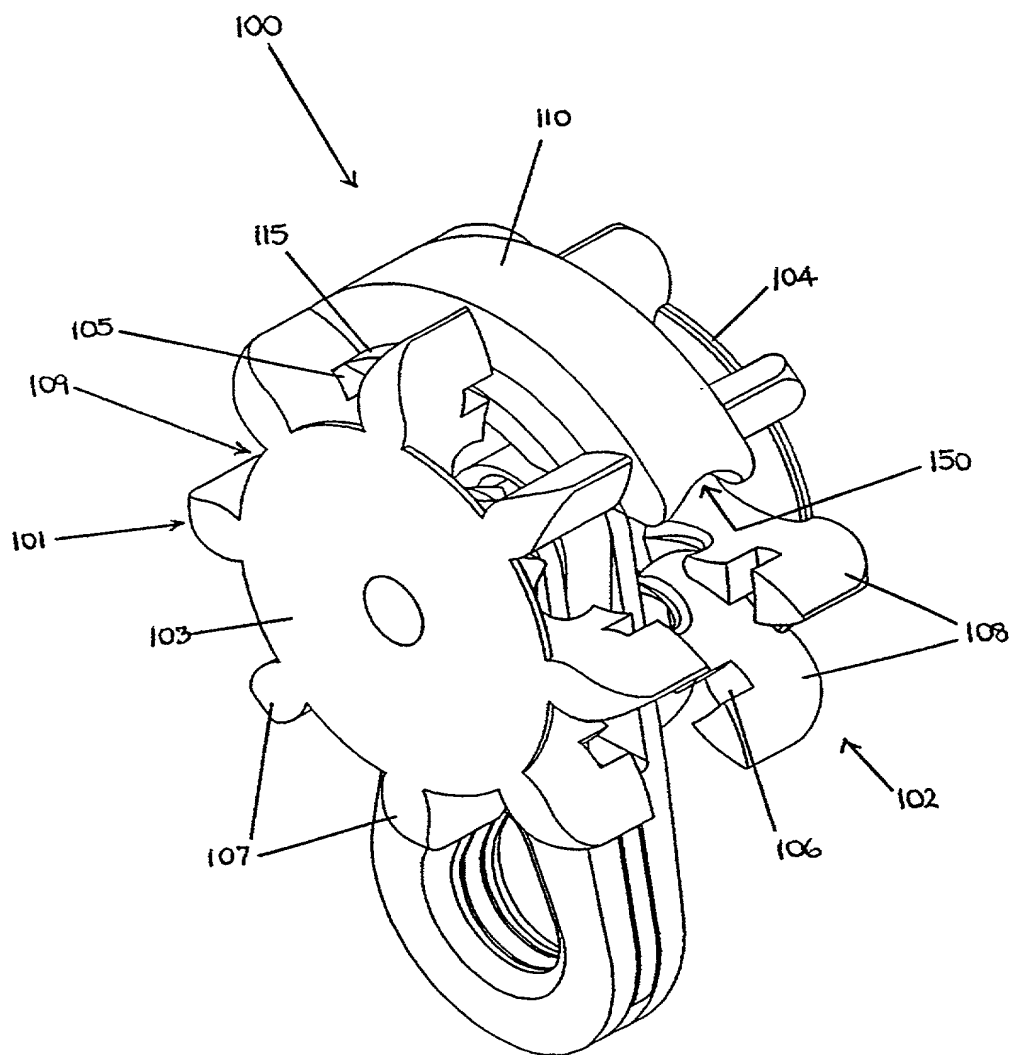


FIGURE 1

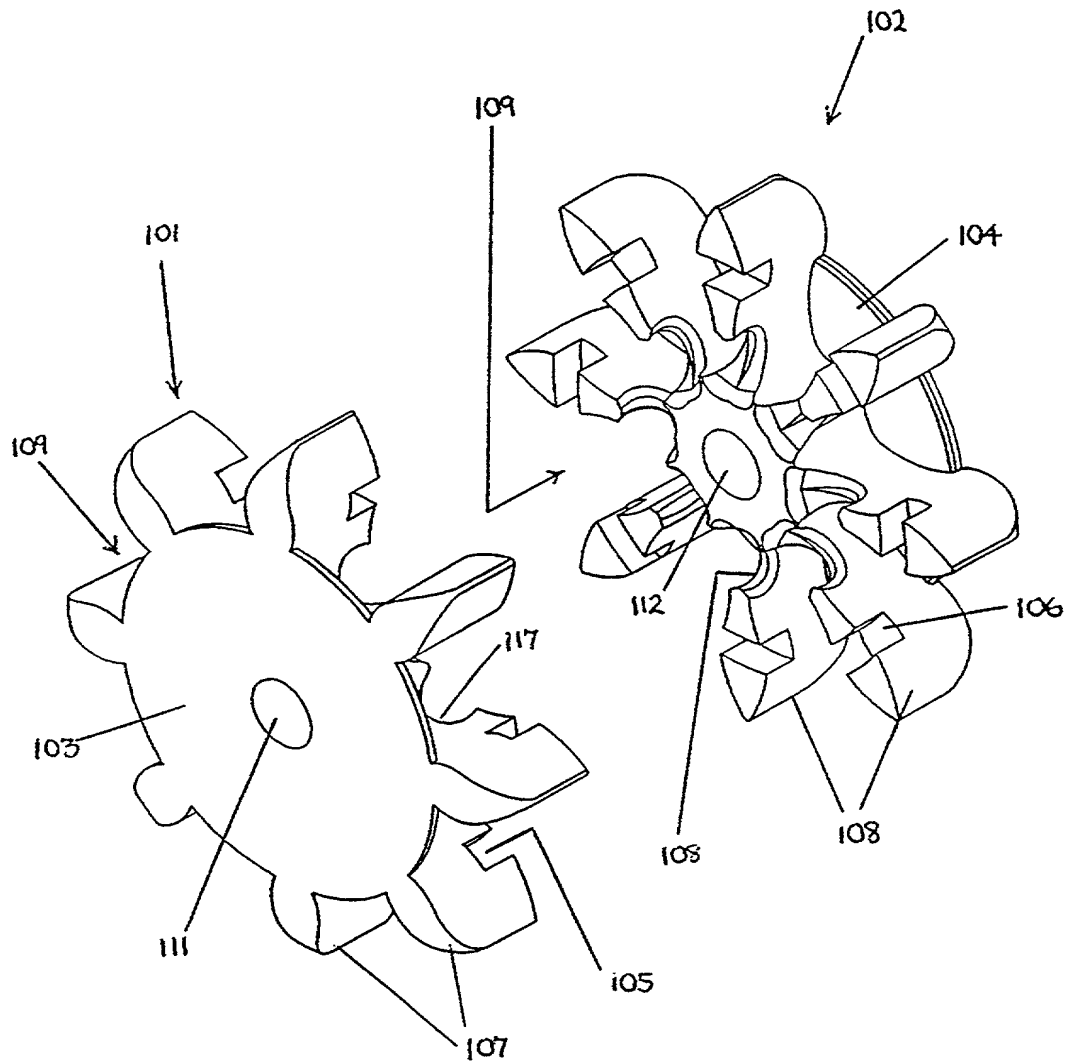
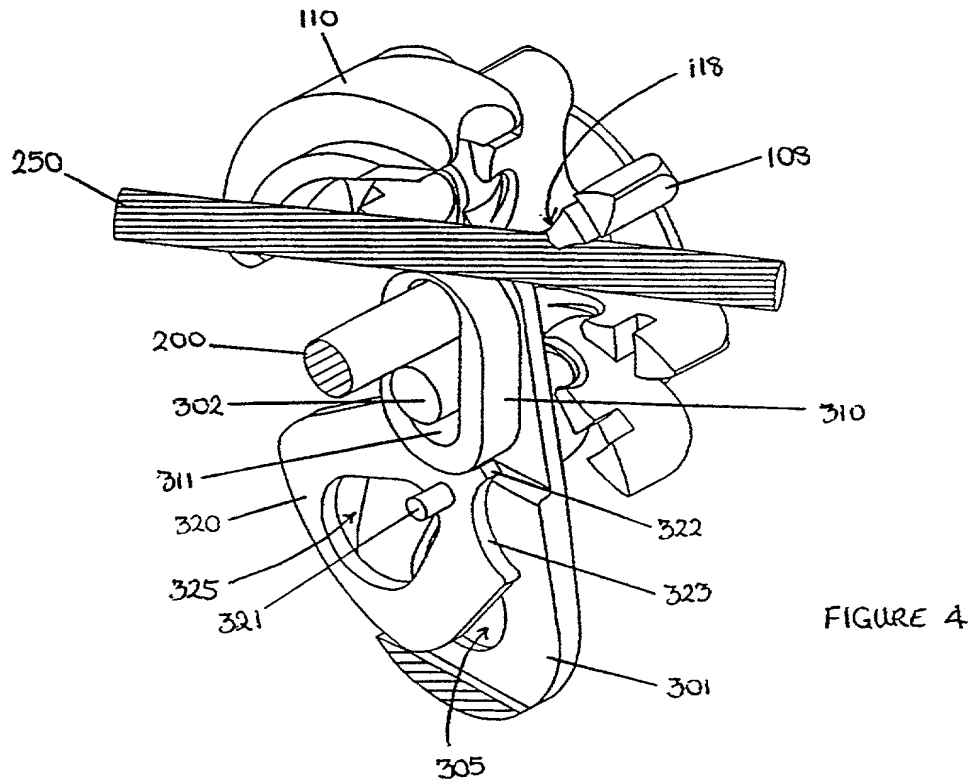
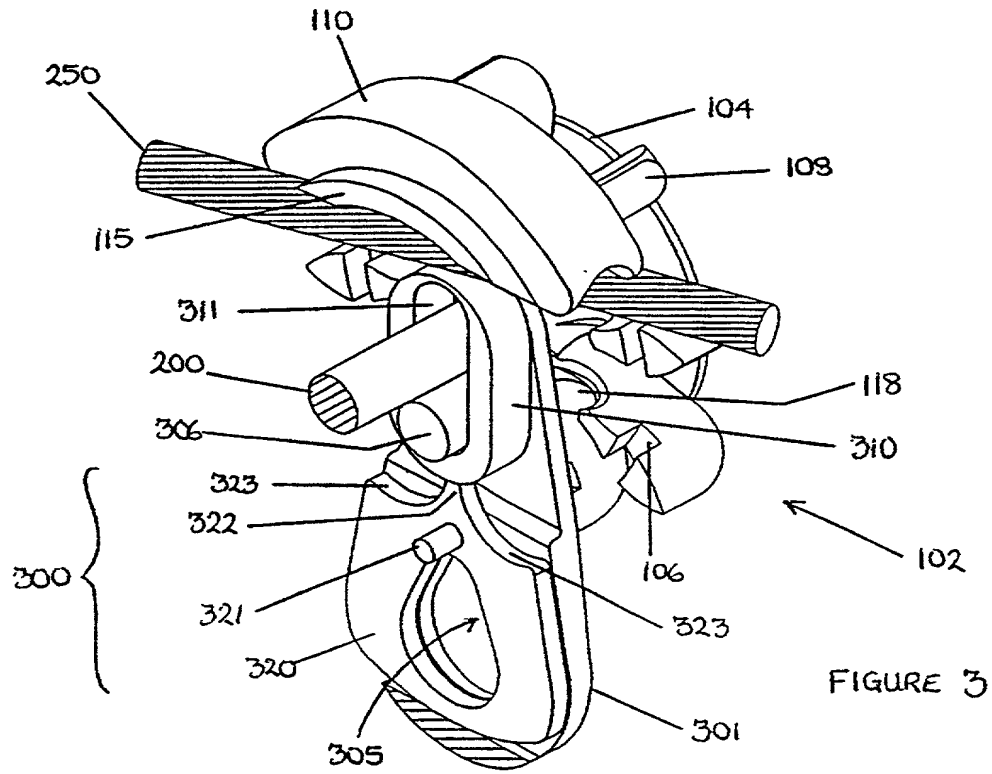


FIGURE 2



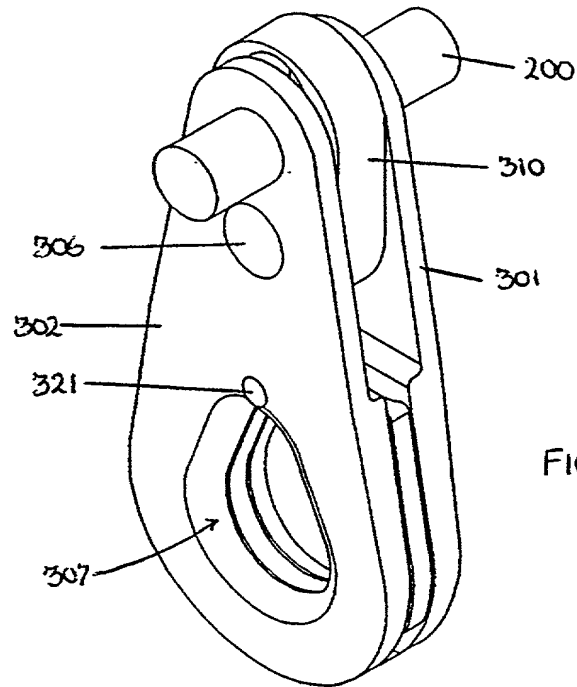


FIGURE 7

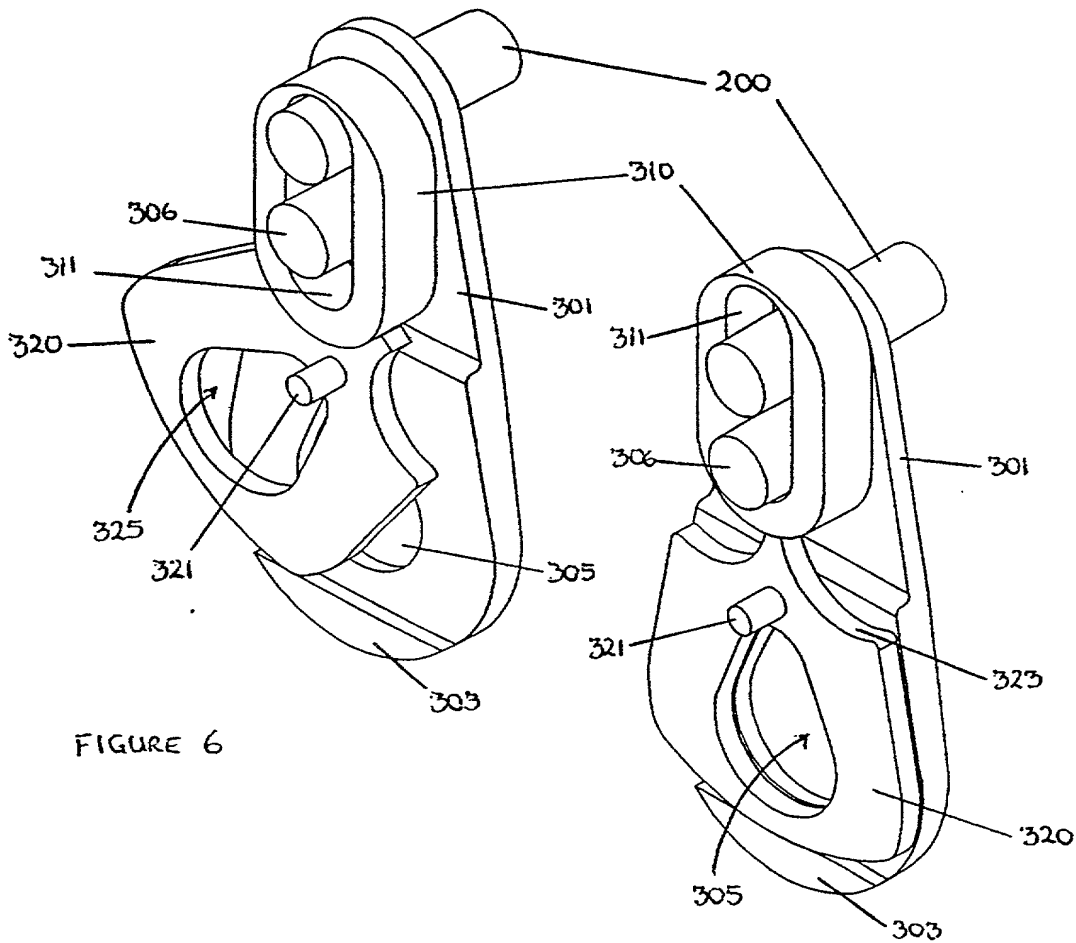


FIGURE 6

FIGURE 5

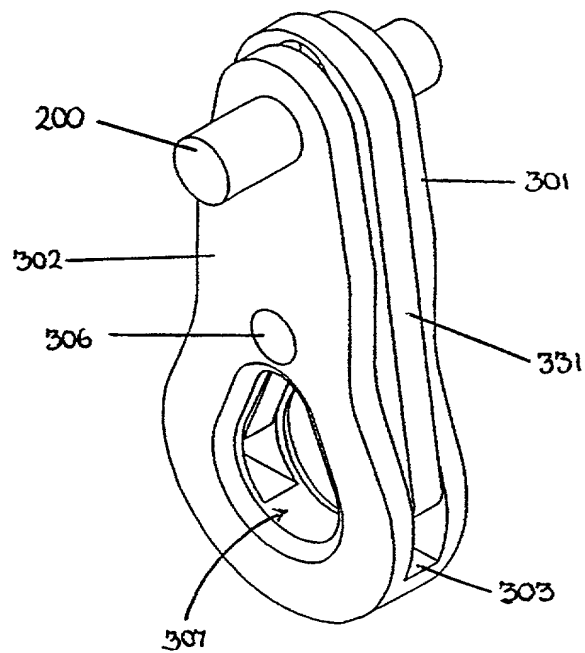


FIGURE 10

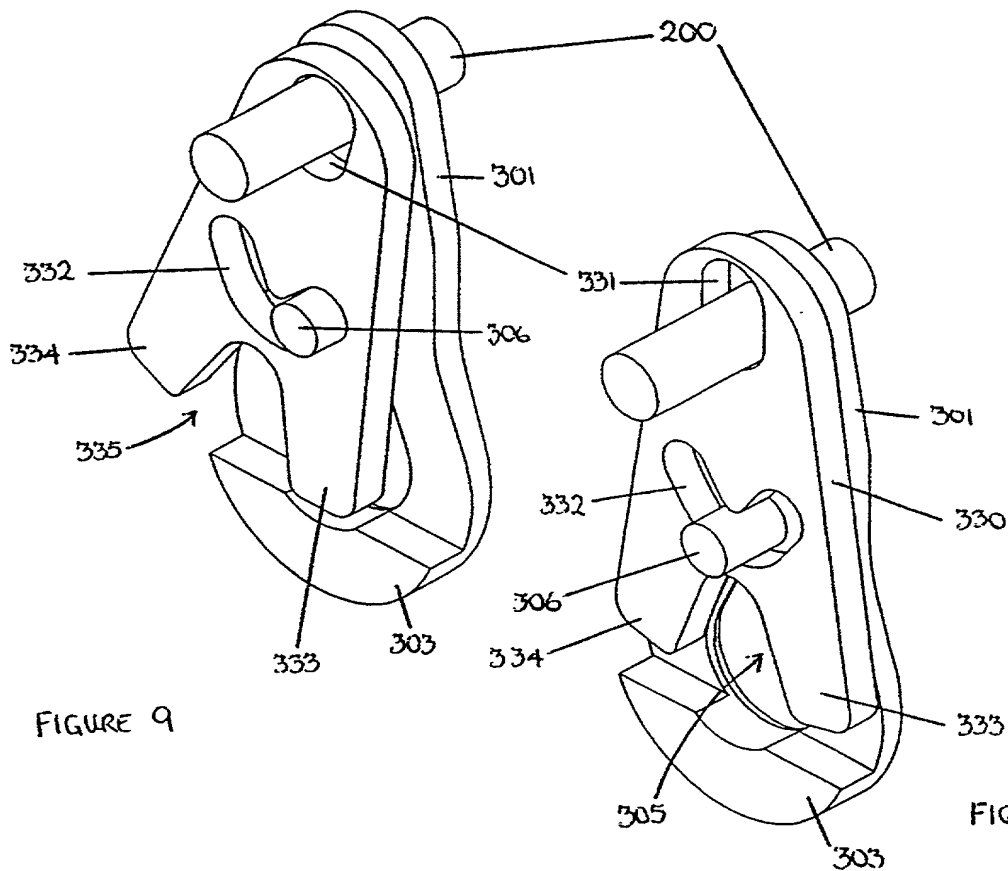


FIGURE 9

FIGURE 8

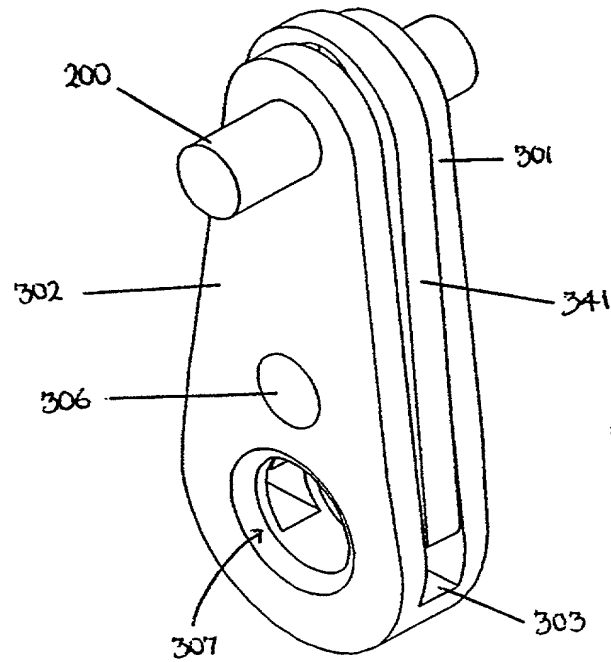


FIGURE 13

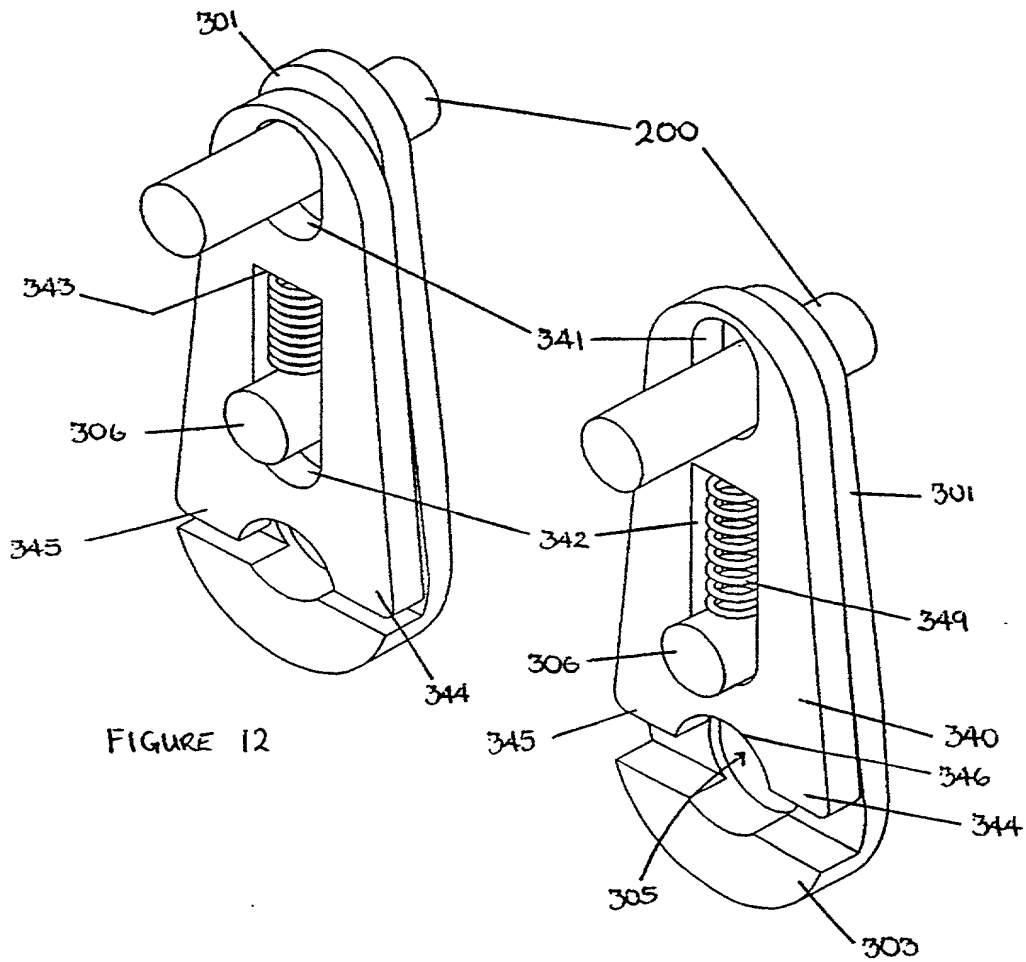


FIGURE 12

FIGURE 11

DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

Atty. Docket No. UDL0161PUSA
First Named Inventor Peter Robert Flux

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

REMOVABLE HEIGHT SAFETY APPARATUS

the specification of which:

☐ is attached hereto; or
☒ was filed on 08 June 2000 as PCT International Application Number PCT/GB00/02228

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below, and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Priority Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? (Yes/No)
9913783.8 ✓	GB ✓	14 June 1999 ✓		

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Number(s)	Filing Date (MM/DD/YYYY)	Status: Patented, Pending, Abandoned

Declaration for Patent Application (cont'd.)

Atty. Docket No. UDL0161PUSA

I hereby appoint the following registered practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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